



## **THE COMPLETE JOINT COMMISSION LIFE SAFETY – NATIONAL FIRE PROTECTION ASSOCIATION (NFPA) GUIDEBOOK FOR HEALTH CARE**

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*Contains All New Joint Commission LS Standards  
&  
All Corresponding NFPA Codes*

*This Sample Overview Provided by*

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**Hospital Policy Net, Inc.**

**1.800.749.7144**

[GarryWalsh@HospitalPolicyNet.com](mailto:GarryWalsh@HospitalPolicyNet.com)

## LIFE SAFETY – NFPA GUIDEBOOK OVERVIEW

Total # of Life Safety (LS) Standards	Total # of LS Elements of Performance (EP)	Total # of NFPA Editions	Total # of NFPA Individual Codes
<b>18</b>	<b>194</b>	<p style="text-align: center;"><b>12 NFPA Editions:</b></p> 10-1998, 13-1999, 25-1998, 30-2000, 45-1996, 72-1999, 80-1999, 82-1999, 90A-1999 96-1998, 99-2002, 101-2000	<p><b>1,288 Individual Codes</b></p> Cross-referenced to the 194 EP's. < 10% of the codes relate to multiple EP's

### TABLE OF CONTENTS

Administrative Activities	Page
Statement of Conditions (LS.01.01.01)	4
Interim Life Safety Measures (LS.01.02.01)	5
Health Care Occupancy	
All Health Care Occupancy Buildings	
1. General Building Requirements (LS.02.01.10)	7
2. Means of Egress Requirements (LS.02.01.20)	17
3. Protection (LS.02.01.30)	33
a. Fire Alarm (LS.02.01.34)	55
b. Extinguishment (LS.02.01.35)	74
4. Special Provisions (LS.02.01.40)	83
5. Building Services (LS.02.01.50)	87
6. Operating Features (LS.02.01.70)	98
Ambulatory Health Care Occupancy	
All Ambulatory Health Care Occupancy Buildings	
1. General Building Requirements (LS.03.01.10)	103
2. Means of Egress Requirements (LS.03.01.20)	113
3. Protection (LS.03.01.30)	127
a. Fire Alarm (LS.03.01.34)	148
b. Extinguishment (LS.03.01.35)	167
4. Special Provisions (LS.03.01.40)	173
5. Building Services (LS.03.01.50)	177
6. Operating Feature (LS.03.01.70)	180

**IMPORTANT NOTE:**

Effective January 1, 2009, the Joint Commission dramatically changed the standard numbering system from the generalized “EC” system to a numerical-based system. Each number sub-set now has a specific interpretation. To understand the standard numbering system, study the following, as related to the sample Life Safety chapter standard number: **LS.02.01.10**

LS	02	01	10
Chapter Name: Life Safety	02: Refers to Healthcare Occupancy. 03: Refers to Ambulatory Occupancy.	01: Refers to ALL Healthcare Buildings	Refers to the related NFPA Code Chapter: In this example: NFPA 101-2000, 18/19.10

\*Not affiliated with the Joint Commission or the National Fire Protection Association

## Managing Compliance with the NFPA *Life Safety Code*

The Joint Commission recognizes that there will be times when the provisions of the *Life Safety Code* cannot be met because of construction, accidental damage, or other unpredictable situations, the organization either resolves the deficiency immediately or manages it through one of the following options:

- A management process that documents the deficiency and actions to resolve the situation within 45 days
- A *Life Safety Code* Equivalency approved by The Joint Commission. Equivalencies are used when a hospital uncovers an existing *Life Safety Code* deficiency that cannot be corrected without major construction. In these cases, the hospital may ask The Joint Commission to approve an equivalency, which is accomplished by submitting documentation that demonstrates that alternative building features exist that comply with the intent of the *Life Safety Code*. The Joint Commission must approve equivalencies even when other regulatory bodies have approved an equivalency or granted a waiver (see <http://www.jointcommission.org/lsc> for more details).
- A Plan for Improvement (PFI) located in the electronic Statement of Conditions™ (e-SOC). The Joint Commission reviews the PFI as part of the on-site survey, and the hospital uses it in the Periodic Performance Review process. If the hospital uses the e-SOC to document a PFI, has initiated interim life safety measures (see LS.01.02.01), and is meeting the time frames listed in the PFI, The Joint Commission will not consider the deficiency as a Requirement for Improvement (RFI) in the accreditation process. All corrections must be completed within six months of the projected completion date.

### Building Maintenance Program

Typically, deficiencies are identified and corrected using scheduled rounds. A method proven to be effective for tracking and managing these deficiencies is the Building Maintenance Program (BMP). The program involves a scheduled process for inspecting, identifying, and correcting certain *Life Safety Code* deficiencies through maintenance activities. Although organizations are encouraged to use this program, it will not exempt them from receiving RFIs for deficiencies identified during the on-site survey.

The BMP consists of the following:

- Written strategies to manage the items covered in the program
- A documented schedule for the frequency of inspecting the items
- Processes for evaluating the effectiveness of the program.

Deficiencies that can be managed using this program include the following:

- Non-functioning positive latching devices, self closing or automatic closing devices, and excessive gaps and undercuts on fire rated doors (Standard LS.02.01.10, EP 5)
- Non-functioning self-closing or automatic closing devices and excessive gaps and undercuts on smoke barrier doors (Standard LS.02.01.30, EP 23)
- Non-functioning latching devices and excessive gaps and undercuts on corridor doors (Standard LS.02.01.30, EP 11)
- Penetration in corridor walls and smoke barrier walls and corridor walls (Standard LS.02.01.30, EP 6 and EP 18)
- Non-functioning egress illumination devices and exit signs (Standard LS.02.01.20, EP 28 and EP 31)
- Means of egress with accumulated snow and ice (Standard LS.02.01.20, EP 13)
- Non-functioning positive latching devices and self-closing or automatic closing devices on inlet and outlet doors in linen or trash chutes (Standard LS.02.01.50, EP 9)
- Dirty grease producing devices, including exhaust hoods, exhaust duct systems, and grease removal devices (Standard LS.02.01.35, EP 10)

The following is a sample of our work that has been abstracted from Pages 54-60 from our complete 180 page Life Safety – NFPA Code Guidebook

## Standard LS.02.01.34

The hospital provides and maintains fire alarm systems.

### Elements of Performance for LS.02.01.34

- A
1. The fire alarm signal automatically transmits to one of the following (for full text and any exceptions, refer to NFPA 101-2000: 9.6.4): Δ
    - An auxiliary fire alarm system with direct connection to the servicing fire department as described in NFPA 72-1999: 6-16
    - Central station service as described in NFPA 72-1999: 5-2
    - A proprietary supervising station system as described in NFPA 72-1999: 5-3 or as described in The Joint Commission policy for manual transmission system (see <http://www.jointcommission.org/lsc> )
    - A remote supervising station fire alarm system as described in NFPA 72-1999: 5-4

#### NFPA 101-2000

##### 9.6.4 Emergency Forces Notification.

Where required by another section of this *Code*, emergency forces notification shall be provided to alert the municipal fire department and fire brigade (if provided) of fire or other emergency.

Where fire department notification is required by another section of this *Code*, the fire alarm system shall be arranged to transmit the alarm automatically via any of the following means acceptable to the authority having jurisdiction and shall be in accordance with NFPA 72, *National Fire Alarm Code*:

- (1) Auxiliary alarm system
- (2) Central station connection
- (3) Proprietary system
- (4) Remote station connection

*Exception: For existing installations where none of the means of notification specified in 9.6.4(1) through (4) is available, a plan for notification of the municipal fire department, acceptable to the authority having jurisdiction, shall be permitted.*

#### NFPA 72-1999

**6-16 Auxiliary Fire Alarm Systems.** The requirements of Chapter 1, 3, and 7 shall apply to auxiliary fire alarm systems, unless they conflict with the requirements of Section 6-16. If permitted by the authority having jurisdiction, the use of systems described in Chapter 6 shall be permitted to provide defined reporting functions from or within private premises.

**6-16.1 Scope.** Section 6-16 describes the equipment and circuits necessary to connect a protected premises.

##### 6-16.2 General.

**6-16.2.1** An auxiliary fire alarm system shall be used only in connection with a public fire alarm reporting system that is approved for the service. A system approved by the authority having jurisdiction shall meet this requirement.

**6-16.2.2** Permission for the connection of an auxiliary fire alarm system to a public fire alarm reporting system, and acceptance of the type of auxiliary transmitter and its actuating mechanism, circuits, and components connected thereto, shall be obtained from the authority having jurisdiction.

**6-16.2.3** An auxiliary fire alarm system shall be maintained and supervised by a responsible person or corporation.

**6-16.2.4** Section 6-16 shall not require the use of audible alarm signals other than those necessary to operate the auxiliary fire alarm system. If it is desired to provide fire alarm evacuation signals in the protected property, the alarms, circuits, and controls shall comply with the provisions of Chapter 3 in addition to the provisions of Section 6-16.

**6-16.3 Communications Center Facilities.** The communications center facilities shall be in accordance with the requirements of Sections 6-1 through 6-15.

**6-16.4 Equipment.**

**6-16.4.1 Types of Systems.** Auxiliary fire alarm systems shall be of the following two types.

(a) *\*Local Energy Type.*

(1) Local energy systems shall be permitted to be of the coded or non-coded type.

(2) Power supply sources for local energy systems shall conform to Chapter 1.

(b) *\*Shunt Type.*

(1) Shunt systems shall be non-coded with respect to any remote electrical tripping or actuating devices.

(2) All conductors of the shunt circuit shall be installed in accordance with NFPA 70, *National Electrical Code*, Article 346, for rigid conduit, or Article 348, for electrical metallic tubing.

(3) Both sides of the shunt circuit shall be in the same conduit.

(4) If an auxiliary transmitter is located within a private premises, it shall be installed in accordance with 6-9.1.

(5) If a shunt loop is used, it shall not exceed a length of 750 ft (230 m) and shall be in conduit.

(6) Conductors of the shunt circuits shall not be smaller than No. 14 AWG and shall be insulated as prescribed in NFPA 70, *National Electrical Code*, Article 310.

(7) The power for shunt-type systems shall be provided by the public fire alarm reporting system.

(8) A local system made to an auxiliary system by the addition of a relay whose coil is energized by a local power supply and whose normally closed contacts trip a shunt-type master box shall not be permitted.

**6-16.4.2** The interface of the two types of auxiliary fire alarm systems with the three types of public fire alarm reporting systems shall be in accordance with Table 6-16.4.2.

Reporting Systems	Local Energy Type	Shunt Type	Parallel Type
Coded wired	Yes	Yes	No
Coded radio	Yes	No	No
Telephone Series	Yes	No	No

**6-16.4.3** The application of the two types of auxiliary fire alarm systems shall be limited to the initiating devices specified in Table 6-16.4.3.

**6-16.4.4 Location of Transmitting Devices.**

**6-16.4.4.1** Shunt-type auxiliary systems shall be arranged so that one auxiliary transmitter does not serve more than 100,000 ft<sup>2</sup> (9290 m<sup>2</sup>) total area.

*Exception: Where otherwise permitted by the authority having jurisdiction.*

**6-16.4.4.2** A separate auxiliary transmitter shall be provided for each building, or where permitted by the authority having jurisdiction, for each group of buildings of single ownership or occupancy.

**6-16.4.4.3** The same box shall be permitted to be used as a public fire alarm reporting system box and as a transmitting device for an auxiliary system if permitted by the authority having jurisdiction, provided that the box is located at the outside of the entrance to the protected property.

The fire department shall be permitted to require the box to be equipped with a signal light to differentiate between automatic and manual operation, unless local outside alarms at the protected property serve the same purpose.

**Table 6-16.4.3 Application of Initiating Device with Auxiliary Fire Alarm Systems**

Initiating Devices	Local Energy Type	Shunt Type	Parallel Type
Manual fire Alarm	Yes	Yes	Yes
Water flow or actuation of the fire extinguishing system(s) or suppression system(s)	Yes	Yes	Yes
Automatic detection devices	Yes	No	Yes

**6-16.4.4.4** The transmitting device shall be located as required by the authority having jurisdiction.

**6-16.4.4.5** The system shall be designed and arranged so that a single fault on the auxiliary system shall not jeopardize operation of the public fire alarm reporting system and shall not, in case of a single fault on either the auxiliary or public fire alarm reporting system, transmit a false alarm on either system.  
*Exception: Shunt systems complying with 6-16.4.1(b).*

**6-16.5 Personnel.** Personnel necessary to receive and act on signals from auxiliary fire alarm systems shall be in accordance with the requirements of Sections 6-1 through 6-16 and NFPA 1221, *Standard for the Installation, Maintenance, and Use of Emergency Services Communications Systems*.

**6-16.6 Operations.** Operations for auxiliary fire alarm systems shall be in accordance with the requirements of Sections 6-1 through 6-16 and NFPA 1221, *Standard for the Installation, Maintenance, and Use of Emergency Services Communications Systems*.

**6-16.7 Testing and Maintenance.** Testing and maintenance of auxiliary fire alarm systems shall be in accordance with the requirements of Chapter 7.

**NFPA 72-1999**

**5-2 Fire Alarm Systems for Central Station Service.** The requirements of Chapters 1 and 7 and Section 5-5 shall apply to central station fire alarm systems, unless they conflict with the requirements of this section.

**5-2.1 Scope.** Section 5-2 shall describe the general requirements and use of fire alarm systems to provide central station service as defined in Section 1-4.

**5-2.2 General.**

**5-2.2.1** Fire alarm systems for central station service shall include the central station physical plant, exterior communications channels, subsidiary stations, and signaling equipment located at the protected premises.

**5-2.2.2\*** Section 5-2 shall apply to central station service, which consists of the following elements:

- (1) Installation of fire alarm transmitters
- (2) Alarm, guard, supervisory, and trouble signal monitoring
- (3) Retransmission
- (4) Associated record keeping and reporting
- (5) Testing and maintenance
- (6) Runner service

The central station service elements shall be provided under contract to a subscriber by one of the following:

- (a) A listed central station that provides all of the elements of central station service with its own facilities and personnel.
- (b) A listed central station that provides, as a minimum, the signal monitoring, retransmission,

and associated record keeping and reporting with its own facilities and personnel and that shall be permitted to subcontract all or any part of the installation, testing, and maintenance and runner service.

(c) A listed fire alarm service—local company that provides the installation and testing and maintenance with its own facilities and personnel and that subcontracts the monitoring, retransmission, and associated record keeping and reporting to a listed central station. The required runner service shall be provided by the listed fire alarm service—local company with its own personnel or the listed central station with its own personnel.

**5-2.2.3** The prime contractor shall conspicuously indicate that the fire alarm system providing service at a protected premises complies with all the requirements of this code by providing a means of third party verification, as specified in 5-2.2.3.1 or 5-2.2.3.2.

**5-2.2.3.1** The installation shall be certificated.

**5-2.2.3.1.1** Fire alarm systems providing service that complies with all the requirements of this code shall be certified by the organization that has listed the central station, and a document attesting to this certification shall be located on or within 36 in. (1 m) of the fire alarm system control unit or, if no control unit exists, on or within 36 in. (1 m) of a fire alarm system component.

**5-2.2.3.1.2** A central repository of issued certification documents, accessible to the authority having jurisdiction, shall be maintained by the organization that has listed the central station.

**5-2.2.3.2** The installation shall be placarded.

**5-2.2.3.2.1** Fire alarm systems providing service that complies with all the requirements of this code shall be conspicuously marked by the central station to indicate compliance. The marking shall be by one or more placards that meet the requirements of the organization that has listed the central station and requires the placard.

**5-2.2.3.2.2** The placard(s) shall be 20 in.2 (130 cm<sup>2</sup>) or larger, shall be located on or within 36 in. (1 m) of the fire alarm system control unit or, if no control unit exists, on or within 36 in. (1 m) of a fire alarm system component, and shall identify the central station by name and telephone number.

**5-2.2.4\*** Fire alarm system service that does not comply with all the requirements of Section 5-2 shall not be designated as central station service.

**5-2.2.5\*** For the purpose of Section 5-2, the subscriber shall notify the prime contractor, in writing, of the identity of the authority(ies) having jurisdiction.

### **5-2.3 Facilities.**

**5-2.3.1** The central station building or that portion of a building occupied by a central station shall conform to the construction, fire protection, restricted access, emergency lighting, and power facilities requirements of the latest edition of ANSI/UL 827, *Standard for Safety Central-Station for Watchman Fire-Alarm and Supervisory Services*.

**5-2.3.2** Subsidiary station buildings or those portions of buildings occupied by subsidiary stations shall conform to the construction, fire protection, restricted access, emergency lighting, and power facilities requirements of the latest edition of ANSI/UL 827, *Standard for Safety Central-Station for Watchman, Fire-Alarm and Supervisory Services*.

**5-2.3.2.1** All intrusion, fire, power, and environmental control systems for subsidiary station buildings shall be monitored by the central station in accordance with 5-2.3.

**5-2.3.2.2** The subsidiary facility shall be inspected at least monthly by central station personnel for the purpose of verifying the operation of all supervised equipment, all telephones, all battery conditions, and all fluid levels of batteries and generators.

**5-2.3.2.3** In the event of the failure of equipment at the subsidiary station or the communications channel to the central station, a backup shall be operational within 90 seconds. Restoration of a failed unit shall be accomplished within 5 days.

**5-2.3.2.4** There shall be continuous supervision of each communications channel between the subsidiary station and the central station.

**5-2.3.2.5** When the communications channel between the subsidiary station and the supervising station fails, the communications shall be switched to an alternate path. Public switched telephone network facilities shall be used only as an alternate path.

**5-2.3.2.6** In the subsidiary station, there shall be a communications path, such as a cellular telephone, that is independent of the telephone cable between the subsidiary station and the

serving wire center.

**5-2.3.2.7** A plan of action to provide for restoration of services specified by this code shall exist for each subsidiary station.

**5-2.3.2.7.1** This plan shall provide for restoration of services within 4 hours of any impairment that causes loss of signals from the subsidiary station to the central station.

**5-2.3.2.7.2** There shall be an exercise to demonstrate the adequacy of the plan at least annually.

#### **5-2.4 Equipment.**

**5-2.4.1** The central station and all subsidiary stations shall be equipped so as to receive and record all signals in accordance with 5-5.5. Circuit-adjusting means for emergency operation shall be permitted to be automatic or to be provided through manual operation upon receipt of a trouble signal. Computer-aided alarm and supervisory signal processing hardware and software shall be listed for the specific application.

**5-2.4.2** Power supplies shall comply with the requirements of Chapter 1.

**5-2.4.3** Transmission means shall comply with the requirements of Section 5-5.

**5-2.4.4\*** Two independent means shall be provided to retransmit a fire alarm signal to the designated public fire service communications center.

**5-2.4.4.1** The use of a universal emergency number, for example 911 public safety answering point, shall not meet the intent of this code for the principal means of retransmission.

**5-2.4.4.2** If the principal means of retransmission is not equipped to allow the communications center to acknowledge receipt of each fire alarm report, both means shall be used to retransmit.

**5-2.4.4.3\*** If required by the authority having jurisdiction, one of the means of retransmission shall be supervised so that interruption of retransmission circuit (channel) communications integrity results in a trouble signal at the central station.

**5-2.4.4.4** The retransmission means shall be tested in accordance with Chapter 7.

**5-2.4.4.5** The retransmission signal and the time and date of retransmission shall be recorded at the central station.

#### **5-2.5 Personnel.**

**5-2.5.1** The central station shall have sufficient personnel, but not less than two persons, on duty at the central station at all times to ensure disposition of signals in accordance with the requirements of 5-2.6.1.

**5-2.5.2** Operation and supervision shall be the primary functions of the operators, and no other interest or activity shall take precedence over the protective service.

#### **5-2.6 Operations.**

##### **5-2.6.1 Disposition of Signals.**

**5-2.6.1.1** Alarm signals initiated by manual fire alarm boxes, automatic fire detectors, water flow from the automatic sprinkler system, or actuation of other fire suppression system(s) or equipment shall be treated as fire alarms. The central station shall perform the following actions:

- (1) \*Immediately retransmit the alarm to the public fire service communications center
- (2) Dispatch a runner or technician to the protected premises to arrive within 1 hour after receipt of a signal if equipment needs to be manually reset by the prime contractor
- (3) Immediately notify the subscriber
- (4) Provide notice to the subscriber or authority having jurisdiction, or both, if required

*Exception: If the alarm signal results from a prearranged test, the actions specified by 5-2.6.1.1(1) and (3) shall not be required.*

##### **5-2.6.1.2 Guard's Tour Supervisory Signal.**

**5-2.6.1.2.1** Upon failure to receive a guard's tour supervisory signal within a 15-minute maximum grace period, the central station shall perform the following actions:

- (1) Communicate without unreasonable delay with personnel at the protected premises
- (2) Dispatch a runner to the protected premises to arrive within 30 minutes of the delinquency if communications cannot be established
- (3) Report all delinquencies to the subscriber or authority having jurisdiction, or both, if required

**5-2.6.1.2.2** Failure of the guard to follow a prescribed route in transmitting signals shall be handled as a delinquency.

**5-2.6.1.3\*** Upon receipt of a supervisory signal from a sprinkler system, other fire suppression system(s), or other equipment, the central station shall perform the following actions:

(1) \*Communicate immediately with the person(s) designated by the subscriber  
 (2) Dispatch a runner or maintenance person to arrive within 1 hour to investigate  
*Exception: Where the supervisory signal is cleared in accordance with a scheduled procedure determined by 5-2.6.1.3(1).*  
 (3) Notify the fire department or law enforcement agency, or both, if required  
 (4) Notify the authority having jurisdiction when sprinkler systems or other fire suppression systems or equipment has been wholly or partially out of service for 8 hours  
 (5) When service has been restored, provide notice, if required, to the subscriber or the authority having jurisdiction, or both, as to the nature of the signal, the time of occurrence, and the restoration of service when equipment has been out of service for 8 hours or more  
*Exception: If the supervisory signal results from a prearranged test, the actions specified by 5-2.6.1.3 (1), (3), and (5) shall not be required.*  
**5-2.6.1.4** Upon receipt of trouble signals or other signals pertaining solely to matters of equipment maintenance of the fire alarm systems, the central station shall perform the following actions:  
 (1) \*Communicate immediately with persons designated by the subscriber  
 (2) Dispatch personnel to arrive within 4 hours to initiate maintenance, if necessary  
 (3) Provide notice, if required, to the subscriber or the authority having jurisdiction, or both, as to the nature of the interruption, the time of occurrence, and the restoration of service, when the interruption is more than 8 hours  
**5-2.6.1.5** All test signals received shall be recorded to indicate date, time, and type.  
**5-2.6.1.5.1** Test signals initiated by the subscriber, including those for the benefit of an authority having jurisdiction, shall be acknowledged by central station personnel whenever the subscriber or authority inquires.  
**5-2.6.1.5.2\*** Any test signal not received by the central station shall be investigated immediately and action shall be taken to reestablish system integrity.  
**5-2.6.1.5.3** The central station shall dispatch personnel to arrive within 1 hour if protected premises equipment needs to be manually reset after testing.  
**5-2.6.2 Record Keeping and Reporting.**  
**5-2.6.2.1** Complete records of all signals received shall be retained for at least 1 year.  
**5-2.6.2.2** Testing and maintenance records shall be retained as required by 7-5.3.  
**5-2.6.2.3** The central station shall make arrangements to furnish reports of signals received to the authority having jurisdiction in a manner approved by the authority having jurisdiction.  
**5-2.7 Testing and Maintenance.**  
**5-2.7.1** Testing and maintenance for central station service shall be performed in accordance with Chapter 7.  
**5-2.7.2** The prime contractor shall provide each of its representatives and each alarm system user with a unique personal identification code.  
**5-2.7.3** In order to authorize the placing of an alarm system into test status, a representative of the prime contractor or an alarm system user shall first provide the central station with his or her personal identification code.

The preceding sample is just ½ of one single Element of Performance -- out of a total of 194!

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